

Thursday July 3<sup>rd</sup>, 2008

# Protocol Assessment Liaison BULLETIN

*This Educational Information has been brought to you by Traci Clemons in the Protocol Facilitation Program for the Vice Chancellor for Research, and in cooperation with the UNMC Institutional Animal Care and Use Committee, and Comparative Medicine.*

*For additional information please contact:*

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## Grant Funding Interruption

### Why is grant funding sometimes interrupted on non-competitive continuations (progress reports) and/or renewals?

Regardless of the funding agency there may be a lapse in funds due to several reasons including:

- \*Sponsor delays in sending formal notification
- \*Sponsor reductions in the award budget
- \*Pending regulatory approvals
- \*Unknown time constraints

### What happens if grant funds are interrupted?

Federal and nonfederal sponsors prohibit the purchase of research animals or supplies. ***That means disruption of research!***

### Facts

1) The PHS, USDA, and OLAW require that all animals used in research are on an approved protocol, and that they are to be identified according to this protocol number assigned by the IACUC. This is to protect the animals, the animal users, and the animal care takers.

***\*Using another protocol to obtain animals will result in a reportable non-compliance.***

2) Funding agencies are very specific in their requirements for making sure that their money is being spent on exactly what you said that it would be spent on. They depend on Sponsored Programs to regulate this by assigning a comparison of procedures in the grant to the approved IACUC protocol. If you use funds for a purpose other than what they were approved for there may be consequences such as; 1) Suspensions, 2) Fines, 3) Paybacks, and/or

4) Penalties to the university.

#### How do you know it is going to happen?

Sponsored Programs notifies the PI and their administrative staff of the need for: revised budgets and/or an **advance account form**.

#### How do you continue to conduct important research if there is a lapse in funding?????

\*Contact your representative in Sponsored Programs.

\*Visit the SPA Website and download the form and instructions for an ADVANCED ACCOUNT.

Visit the Sponsored Programs Web Page:

[http://webmedia.unmc.edu/spa/forms/Advance\\_Account.doc](http://webmedia.unmc.edu/spa/forms/Advance_Account.doc)

#### What is an Advanced Account and how does it work?

\*A temporary account that you can link to your protocol that will cover expenses for conducting your research.

\*This request must be approved by the department chair to ensure that the expenses will be covered.

\*For all accounts except NIH competitive renewals, the advance account number will remain the same when funding notices are processed.

#### How long will it take to have the account approved?

If everything is completed correctly, and signed it can be processed quickly.

#### How long is it good for?

\*30 days initially

\*SPA closely monitors advance accounts for delays longer than this.

#### Are there other options?

\*Funding for purchase of animals and per diem costs can be expensed to departmental funds.

\*Contact SPA to discuss options and requirements.

Be proactive...educate yourself in the correct process so you do not find yourself in this situation! Sponsored Programs is available to assist you.

Sponsored Programs Administration

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# **FYI**

**\*\*ALWAYS VISIT THE IACUC WEBSITE FOR THE MOST  
CURRENT FORMS NEW APPLICATIONS, CONTINUING REVIEW,  
AND CHANGES FOR YOUR IACUC PROTOCOL.**

[Forms](#)

***NEW THIS MONTH:***

**\*\*IACUC APPLICATION FOR FACULTY BREEDING COLONY**

Revision: 6/2008

***-This application will be implemented immediately for all new protocols and at the three year review for existing protocols that include a breeding component.***

You should download and complete this form to request approval for the establishment of a breeding colony if:

- \*You are a faculty member at UNMC or UNO
- \*This is a new project
- \*You propose to establish a breeding colony for the purpose of breeding unique animals that can not be purchased commercially
- \*You plan on transferring these animals to an IACUC approved research protocol
- \*The breeding colony will not be managed by a student

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**\*\*PERSONNEL SECTION ON ALL FORMS HAVE BEEN UPDATED**

**-See Examples on the IACUC Website**

**[http://www.unmc.edu/media/iacuc/personnel\\_section\\_examples.doc](http://www.unmc.edu/media/iacuc/personnel_section_examples.doc)**

**For More Information on the UNMC IACUC please book mark this site:**

**[IACUC Home](#)**