EXPORT CONTROL DECISION TREE FOR SHIPPING

Part 1

Nebraska Omaha | Nebraska Medical Center

START HERE

Is the item going to an embargoed or sanctioned country?

- **YES** → **More than likely subject to Export Control Regulations and will Require an Export License**
- **NO** → Does the restricted party screening in Visual Compliance indicate a potential match?
  - **YES** → **More than likely subject to Export Control Regulations and will Require an Export License**
  - **NO** → Is the item on the U.S. Munitions List? *(Screen with Visual Compliance)*
    - **YES** → **More than likely subject to Export Control Regulations and will Require an Export License**
    - **NO** → Is the item on the Commerce Control List? *(Screen With Visual Compliance)*
      - **YES** → **More than likely subject to Export Control Regulations and will Require an Export License**
      - **NO** → Do you know the ECCN? If not, classify using Visual Compliance.
        - **NEXT** → Is a license required for item(s) or technology to the destination? *(Screen with Visual Compliance)*
          - **YES** → **More than likely subject to Export Control Regulations and will Require an Export License**
          - **NO** → What is the Schedule B Codes for items being shipped? *(Classify items using Foreign Trade Schedule B Codes)*
            - **NEXT** → Is any item or total sum of Schedule B Code $2,500 or over?
              - **YES** → Is an Foreign Trade Exemption available? *(Screen 15 CFR Part 30)*
                - **NO** → **File shipment with Foreign Trade - Census Bureau in AES Direct**
                - **NEXT** → **Contniue Review on Export Control Decision Tree for Shipping - Part 2**
              - **NO** → Per 15 CFR 736.2, do any General Prohibitions apply?
                - **NO** → **Contniue Review on Export Control Decision Tree for Shipping - Part 2**

EXPORT CONTROL DECISION TREE FOR SHIPPING

Part 2

University of Nebraska Omaha | University of Nebraska Medical Center

CONTINUE HERE

Is the equipment, software, chemical, bio-agent, or technology designed or modified for military use, in outer space or could it be used for weapons of mass destruction (Dual Use)?

(Screen with Visual Compliance)

YES → **More than likely subject to Export Control Regulations and will Require an Export License**

NO ↓

Is the item being shared, shipped, hand carried, transmitted or transferred a defense article other than information or software on the ITAR's US Munition List (USML)?

YES → More than likely subject to Export Control Regulations and will Require an Export License

NO ↓

Does the contract contain a restriction on export or foreign national access?

YES → More than likely subject to Export Control Regulations and will Require an Export License

NO ↓

Is the equipment, software and technology (information or instruction of same) on the Commodity Control List?

YES → More than likely subject to Export Control Regulations and will Require an Export License

NO ↓

Is there encrypted Software?

YES → Will technology be exposed to foreign nationals (even on campus) or is foreign travel involved?

NO ↓

Is the technology outside of the public domain?

YES → Will technology be exposed to foreign nationals (even on campus) or is foreign travel involved?

NO ↓

Will technology be exposed to foreign nationals (even on campus) or is foreign travel involved?

YES → Will there be foreign nationals from (or will you travel to) and embargoed country?

NO ↓

Does the contract or grant restrict the publication or presentation of research results?

YES → Will there be foreign nationals from (or will you travel to) and embargoed country?

NO ↓

Will there be foreign nationals from (or will you travel to) and embargoed country?

YES → More than likely subject to Export Control Regulations and will Require an Export License

NO ↓

Does the contract or grant prohibit results or deliverables from being disclosed to any foreign country or foreign person?

NO → **Most Likely Not subject to Export Control Regulations**

YES → More than likely subject to Export Control Regulations and will Require an Export License