

## **International Projects/Travel Questionnaire**

**Rationale and submission instructions**: To protect and help faculty and staff traveling internationally or working with international groups, please complete the following questionnaire. This is required to receive approval for international travel. This form needs to be completed before International Travel is approved (or tickets are purchased) and for International Sponsored Projects, at least 2 weeks before the application deadline. Where to submit your completed form:

- For a research contract or grant application: return with that application to Sponsored Programs
- For travel or other international service/business agreements: return to Business and Finance
- For any international Material Transfer Agreement request: submit to the person you are working with in UNeMed.

## General information/restrictions regarding international projects and travel.

Indirect costs for Sponsored Projects with international components: Apply the appropriate UNMC Federally-negotiated F&A rate, unless dictated otherwise by sponsor guidelines restrictions. For projects using a non-standard F&A rate for the type of funding requested, a formal request using the UNMC F&A Waiver Form must be submitted to Sponsored Programs Administration for VCR approval. The UNMC F&A Waiver Form is located at <u>http://www.unmc.edu/spa/index.cfm?L1\_ID=8&CONREF=97</u>).

**Travel restrictions:** The State Department maintains a web site to inform you about potential travel restrictions, <u>http://travel.state.gov/travel/travel\_1744.html</u>

**Export control.** UNMC screens all international activities for current regulations, laws and information regarding use of technologies, collaborators, and employers of collaborators in the country proposed.

**Laptop technology restrictions.** While mobile electronic devices such as laptops, cell phones, and tablets have become part of our day to day life, when taken abroad they can be successfully attacked with malware and other automated attack tools, even when kept current with security software. For this reason and others, when you travel to Cuba, Iran, N Korea, Sudan or Syria, you may only use a "clean laptop". A "clean" laptop is a device which has a new image installed. Other countries where a clean laptop would be recommended for export controls would be the D-1 (national security level countries): Albania, Armenia, Azerbaijan, Belarus, Cambodia, China, Georgia, Iraq, Kazakhstan, Kyrgyzstan, Laos, Libya, Macau, Moldova, Mongolia, Russia, Tajikistan, Turkmenistan, Ukraine, Uzbekistan, and Vietnam.

Availability of loaner laptops: A loaner laptop or mobile device can be obtained from International Health and Medical Education (IHME) for any travel and should be used for travel to any of the above countries. If you do not plan to use a loaner device when you travel, ensure that all operating system security updates have been applied including current anti-virus, anti-spyware and firewall software is installed. Any laptop or other mobile devices should also have a strong password applied.

**Encryption of devices.** Encryption laws vary from country to country. To determine your destination's current laws regarding cryptography, please visit <u>http://www.cryptolaw.org/</u> for information specific to the country you are visiting. China, in particular, does not allow encrypted devices into their country. If legally permitted, you should consider encrypting the hard drive of your device.

**Preparing for travel: back-up your files, have strong password, and request remote access.** Before you leave, it is good practice to have a recent back-up all your files on network storage, in part, so you can securely access them via VPN when traveling. To request secure VPN access, if you do not already have, go to <a href="https://net.unmc.edu/netid/accountrequestremote.php">https://net.unmc.edu/netid/accountrequestremote.php</a>

**Maintaining information security while traveling and upon your return.** Plan to lock up your electronic devices when they are not in your sight. Delete any newly downloaded data from your device prior to leaving your electronics unattended. Do not connect to any unsecured Wi-Fi hotspots in the country you are visiting. Only access UNMC data via VPN. Upon returning home, contact your IT support person to ensure that all electronic devise are completely wiped and change any password used on your trip For any questions, contact Lisa K. Bazis, <u>UNMC Information Security Office</u>

**Other resource:** See also "Travel with Electronic Devices" that contains information on both International Travel and US travel. http://www.unmc.edu/its/information\_security\_procedures.htm

## International Projects/Travel Questionnaire

Name of Project:	
Name of UNMC Investigator(s):	
Name of, International Collaborator(s) and Collaborator(s) Employer:	

Gene	eral Project Information	
1.	What country(ies) outside the United States are involved in this project?	
2.	In this project, will UNMC serve as the prime, a consultant, a subcontractor?	
3	What is the primary funding source for this travel or project?	□Federal (name:) □Non-federal (name:)
4.	What is the nature of the proposed project (Scope of Work—brief description)? (attach separate sheets, as necessary)	
5.	The contract/project will include which of the following (check all that apply)?	<ul> <li>□Research</li> <li>□Instruction</li> <li>□Public service</li> <li>□Business relationship</li> </ul>
6.	Please list the UNMC faculty and staff participants that will be working in the proposed country on this project and their role(s) (e.g. principal investigator, gathering sample, clinical care, financial management?	
6a.	Is there any Memorandum of Understanding, material transfer or other agreement in place to cover this relationship, and if so, please attach.	

Huma	n Subjects				
7.	Will human subject research be conducted as part of this grant or contract ?(If no, move to question 8)	□Yes	□No	□Maybe/no □Not sure	t final
7.a.	Will the human subject research be conducted in the US or another country?	□U.S. □Other:			
8.	Will any protected health information (PHI) be gathered or shared?	□Yes	□No	□Maybe/no □Not sure	t final
9.	Will human biological samples be collected? (If no, move to question 10)	□Yes	□No	☐Maybe/not final ☐Not sure	
9.a.	What type of specimens (e.g., blood, DNA, plant isolates, bacterial or fungal isolates, pathogens, toxins, or chemical agents)?		-		
9.b.	Will stem cells be collected or used? If so, what type?	□ Yes	□No	☐Maybe/not final ☐Not sure	
9.c.	List the country(ies) from which the human biological samples will be collected				
9.d.	To what location will the human biological samples be sent?				
9.e.	Will the biological samples contain infectious agents or isolates?	□Yes	□No	☐Maybe/not final ☐Not sure	
10.	Is any IRB exempt human subject research planned (e.g., epidemiology or human subjects data without identifiers)?	□Yes	□No	☐Maybe/not final ☐Not sure	
Anima	al Use				
11.	Will animal research be included, whether conducted in this US or another country? (If no, move to question 12)	□Yes	□No	■ ■ Maybe/not final ■ Not sure	
11a.	Will any living animals or specimens from animals be transported to or from the country(ies)?	□Yes	□No	□Maybe/not final □Not sure	
-	onic Devices	1			
12.	Will you be taking along any electronic devices (smart phone, laptop, flash drives) (If yes, please complete 13. If no, move to question 14)	□ Yes	□ No	☐Maybe/not final ☐Not sure	
13.	Check which of the following electronic devices you will be taking, and specify if they are yours or UNMC property:	Property of UNMC		□Loaner	□ Personal devices
	Smart phone(s)				
	Laptop (s) iPAD or Tablet (s)				
	USB device (s)				
Mater	ials and Equipment				
14.	Will you transport any item that could be considered dangerous (e.g., dry ice, oxygen, flammables, radionuclides)	□Yes	□No	□Maybe/no □Not sure	
15.	Will any equipment, material or supplies be purchased or transported to or from the country identified? (If no, move to 16)	□Yes	□No	□Maybe/no □Not sure	t final

15a.	List any equipment, material software, or supplies to be transferred or purchased to or from the country identified with its Export Control Classification Number (ECCN) for each, as applicable:	
15.b	Specify the sending and receiving countries.	□To be shipped from USA □To be shipped from non-USA country, please specify Name of receiving country(ies):
15.c.	Which of the following best describes the equipment that will be transferred or purchased:	<ul> <li>UNMC equipment temporarily sited in another country</li> <li>Equipment purchased in USA to be permanently site in the country</li> <li>Equipment purchased in another country to be permanently site in the country</li> <li>Equipment purchased outside of USA that will be shipped to the country</li> </ul>

Perso	nnel			
16.	Will any personnel be hired within the country (ies) specified above? (If no, move to 17)	□Yes	□No	☐Maybe/not final ☐Not sure
16.a. Provide a detailed description of the job responsibility/ies and names (when available) of personnel to be hired in country.		Person 1 Responsibility: Person 2 Responsibility:		
16.b.	Will the PI, collaborators or other UNMC employees work in a foreign country?	□Yes	□No	☐Maybe/not final ☐Not sure
Logis	tics			
17.	Do you plan to oversee any construction projects within the country (ies) specified above? (If no, move to 18)	□Yes	□No	☐Maybe/not final ☐Not sure
17.a	What specifically will be constructed?			
18.	Will a local bank account or business partner be needed for this partnership?	□Yes	□No	☐Maybe/not final ☐Not sure
19.	Does this proposal require special security status or secure communication? (If no, move to 20)	□Yes	□No	☐Maybe/not final ☐Not sure
19.a.	Please describe security requirements.			
20.	Will non-UNMC students, staff, or collaborators need access to UNMC computer systems? If so, which ones? eIRB eIACUC REDCAP Other study database Email Other:	□Yes	□No	☐Maybe/not final ☐Not sure
Irave	I with Students and staff			

21.	Will any UNMC students travel outside of the U.S.?	□Yes	□No	□Maybe/not final
				□Not sure
22.	Will non-UNMC students, staff or collaborators travel to	□Yes	□No	☐ Maybe/not final
	visit UNMC as part of this proposal?			□Not sure
	If yes, also describe scope of what they will be doing			
	and provide names if available.			
23.	Will other UNMC staff be traveling to another country?	□Yes	□No	☐ Maybe/not final
				□Not sure
Conf	lict of Interest			
24.	Does the Principal investigator or any participating	□Yes	□No	☐ Maybe/not final
	faculty or staff have any potential conflict of interest with			□Not sure
	the sponsoring agency?			
Intellectual Property (IP)			1	
25.	Do you anticipate that any new inventions or IP will be	□Yes	□No	☐ Maybe/not final
	generated from this work?			□Not sure
26.	Will any third party materials or IP be used in this	□Yes	□No	☐ Maybe/not final
	project?			□Not sure
27.	Is an established invention / patent or patent application	□Yes	□No	☐ Maybe/not final
	involved in the project?			□Not sure
28.	Will any unpublished information need to be transferred	□Yes	□No	☐ Maybe/not final
	as part of this collaboration?			□Not sure