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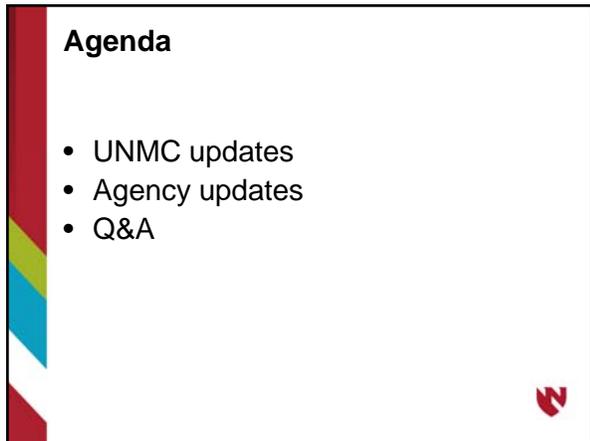
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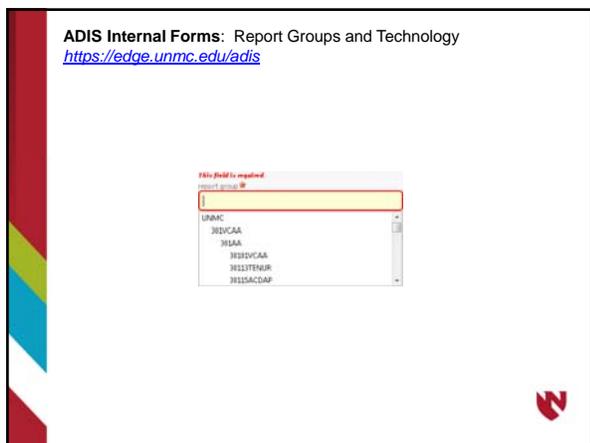
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**ADIS Internal Forms: Report Groups and Technology**  
<https://edge.unmc.edu/adis>

used this application require the use of any of the following major instrumentation or specialized technology

<input type="checkbox"/> <b>Not Applicable</b>	<input type="checkbox"/> Histology	<input type="checkbox"/> Positron Emission Tomography (PET)
<input type="checkbox"/> Amino Acid Sequencing	<input type="checkbox"/> Immunofluorescence	<input type="checkbox"/> human
<input type="checkbox"/> Atomic Force Microscopy	<input type="checkbox"/> Irradiation	<input type="checkbox"/> large animal
<input type="checkbox"/> Bioinformatics	<input type="checkbox"/> Laser Capture Dissection	<input type="checkbox"/> rodent
<input type="checkbox"/> Biologic Irradiator Core Facility	<input type="checkbox"/> Magnetic Resonance Imaging (MRI)	<input type="checkbox"/> small animal
<input type="checkbox"/> Clinical Research Support	<input type="checkbox"/> human	
<input type="checkbox"/> Computed Tomography (CT)	<input type="checkbox"/> large animal	<input type="checkbox"/> Scanning Electron Microscopy
<input type="checkbox"/> human	<input type="checkbox"/> rodent	<input type="checkbox"/> Single Photon Emission Computed Tomography (SPECT)
<input type="checkbox"/> large animal	<input type="checkbox"/> small animal	<input type="checkbox"/> human
<input type="checkbox"/> rodent	<input type="checkbox"/> Angiostereography (ASG)	<input type="checkbox"/> large animal
<input type="checkbox"/> small animal	<input type="checkbox"/> Mass Spectrometry	<input type="checkbox"/> rodent
<input type="checkbox"/> Confocal Microscopy	<input type="checkbox"/> Metabolic Rate Calorimetry	<input type="checkbox"/> small animal
<input type="checkbox"/> Cytogenetics	<input type="checkbox"/> Monoclonal Antibody Production	<input type="checkbox"/> Surface Plasmon Resonance
<input type="checkbox"/> DNA Microarray	<input type="checkbox"/> Mouse Behavior	<input type="checkbox"/> Transgenic Animal Production
<input type="checkbox"/> DNA Sequencing Sanger Method	<input type="checkbox"/> Nanomaterials Characterization	<input type="checkbox"/> cryopreservation
<input type="checkbox"/> Edman Degradation	<input type="checkbox"/> Next Generation DNA Sequencing	<input type="checkbox"/> knock-in
<input type="checkbox"/> Epigenetics / Methylation Analysis	<input type="checkbox"/> Nuclear Magnetic Resonance (NMR)	<input type="checkbox"/> knockout
<input type="checkbox"/> Flow Cytometry	<input type="checkbox"/> Optical Imaging	<input type="checkbox"/> vector construction
<input type="checkbox"/> Fluorescence In Situ Hybridization (FISH)	<input type="checkbox"/> Peptide Synthesis	<input type="checkbox"/> Transmission Electron Microscopy
		<input type="checkbox"/> X-Ray Crystallography
		<input type="checkbox"/> UrRNA Screening



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**Cayuse: Certificate renewal**

*Unavailable from 5:45 – 6:00 p.m. Central  
on Thursday, August 23*



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**NCURA**  
National Council of  
University Research Administrators  
<https://www.ncura.edu/>



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**NIH Update**

- Commons functionality
- Closeout
- FCOI
- Early Stage Investigator
- Certificates of Confidentiality



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**NIH Update:** Commons functionality

- Prior approval requests
  - Change of PI
  - No-cost extension
  - Request for carry-forward



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**NIH Update:** Closeout

- Deadline
  - Reports are LATE after 120 calendar days
  - When recipients fail to submit timely reports, NIH will initiate unilateral closeout
- Format
  - Research Performance Progress Report
- Renewal application
  - Doesn't serve as final programmatic report for previous cycle
- Project Outcomes
  - Will be available to the general public via NIH RePORTER



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**NIH will Make the Project Outcomes Section of all Interim and Final RPPRs Submitted on or After October 1, 2017 Available via the NIH RePORTER (NOT-OD-18-103) – 11/16/2017**  
<https://grants.nih.gov/grants/guide/notice-files/NOT-OD-18-103.html>

NIH

- Will review Project Outcomes narrative
  - Written for the general public in clear, comprehensible language
  - Without proprietary, confidential, or trade secret information
- May request revised narrative
  - Using the “Additional Material” functionality in place for the Final and Interim RPPR

Examples:  
[https://grants.nih.gov/grants/rppr/sample\\_project\\_outcomes\\_RPPR.htm](https://grants.nih.gov/grants/rppr/sample_project_outcomes_RPPR.htm)




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**Financial Conflict of Interest: Investigator Disclosures of Foreign Financial Interests (NOT-OD-18-160) – 03/30/2018**  
<https://grants.nih.gov/grants/guide/notice-files/NOT-OD-18-160.html>

42 CFR Part 50, Subpart F, Objectivity of Research

- To ensure the design, conduct, and reporting of NIH-funded research isn't biased by financial conflicts of interest
- Applies to all applicants and recipients, domestic or foreign, at any tier, **except**:
  - Phase I Small Business Innovative Research and Small Business Technology Transfer
- Investigators must disclose financial interests received from:
  - Government of another country (including local and provincial)
  - Foreign institution of higher education




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**Financial Conflict of Interest: Investigator Disclosures of Foreign Financial Interests (NOT-OD-18-160) – 03/30/2018**  
<https://grants.nih.gov/grants/guide/notice-files/NOT-OD-18-160.html>

UNMC Policy 8010  
[https://wiki.unmc.edu/index.php/Conflict\\_of\\_Interest](https://wiki.unmc.edu/index.php/Conflict_of_Interest)

- SPAdmin checks for
  - Disclosures in COI-SMART
  - Current training in Canvas (was Blackboard)
- “Investigator”
  - Any person, regardless of position, who is responsible for the design, conduct, or reporting of research
  - Might exclude Graduate Assistants, Graduate Research Assistants, Research Assistants, Research Technologists I & II, and Research Technicians I & II




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**Update on NIH's Next Generation Researchers Initiative (NGRI) Policy on Early Established Investigators (NOT-OD-18-214) – 07/26/2018**  
<https://grants.nih.gov/grants/guide/notice-files/NOT-OD-18-214.html>

- NIH will not use an **EEI flag** in application and review systems as described in NOT-OD-17-101




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**Policy Supporting the Next Generation Researchers Initiative (NOT-OD-17-101) – 08/31/2017**  
<https://grants.nih.gov/grants/guide/notice-files/NOT-OD-17-101.html>

NIH ICs will prioritize awards to:

- Early Stage Investigator (ESI)
  - Completed terminal research degree or post-graduate clinical training, whichever is later, within the past 10 years
  - Has not previously received a substantial NIH independent research award
- Early Established Investigator (EEI)
  - Within 10 years of receiving first substantial NIH independent research award as an ESI if
    - Has lost or is at risk for losing all NIH research support if not funded by competing awards this year, or
    - Is supported by only one active award

➢ PI should ensure Commons profile is accurate  
 ➢ For Multi-PI application to be given ESI/EEI prioritization, all PIs must have ESI/EEI designation




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**Notice of Changes to NIH Policy for Issuing Certificates of Confidentiality (NOT-OD-17-109) – 09/07/2017**  
<https://grants.nih.gov/grants/guide/notice-files/NOT-OD-17-109.html>

Purpose

- Protect the privacy of subjects by limiting the disclosure of identifiable, sensitive information

Previously

- Researchers could apply for a CoC from NIH

Effective October 1, 2017

- Applicable NIH-funded research projects are granted a CoC as part of standard terms and conditions
  - A separate document will not be issued
- Non-federal projects can be granted a COC from NIH through application process




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**Notice of Changes to NIH Policy for Issuing Certificates of Confidentiality (NOT-OD-17-109) – 09/07/2017**  
<https://grants.nih.gov/grants/guide/notice-files/NOT-OD-17-109.html>

Does my project fall under the new policy?

- Is the activity biomedical, behavioral, clinical, or other research?
- Does the research involve Human Subjects as defined by 45 CFR Part 46?
- Are you collecting or using biospecimens that are identifiable to an individual as part of the research?
- If collecting or using biospecimens as part of the research, is there a small risk that some combination of the biospecimen, a request for the biospecimen, and other available data sources could be used to deduce the identity of an individual?
- Does the research involve the generation of individual level, human genomic data?




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**Notice of Changes to NIH Policy for Issuing Certificates of Confidentiality (NOT-OD-17-109) – 09/07/2017**  
<https://grants.nih.gov/grants/guide/notice-files/NOT-OD-17-109.html>

CoC prevents

- Disclosure, in any Federal, State, or local civil, criminal, administrative, legislative, or other proceeding, the name of such individual or any such information, document, or biospecimen that contains identifiable, sensitive information about the individual and that was created or compiled for purposes of the research, unless such disclosure or use is made with the consent of the individual to whom the information, document, or biospecimen pertains
- Disclosure to any other person not connected with the research the name of such an individual or any information, document, or biospecimen that contains identifiable, sensitive information about such an individual and that was created or compiled for purposes of the research




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**Notice of Changes to NIH Policy for Issuing Certificates of Confidentiality (NOT-OD-17-109) – 09/07/2017**  
<https://grants.nih.gov/grants/guide/notice-files/NOT-OD-17-109.html>

CoC doesn't prevent disclosure when

- Required by Federal, State, or local laws (e.g., as required by the Federal Food, Drug, and Cosmetic Act, or state laws requiring the reporting of communicable diseases to State and local health departments), excluding instances of disclosure in any Federal, State, or local civil, criminal, administrative, legislative, or other proceeding
- Necessary for the medical treatment of the individual to whom the information, document, or biospecimen pertains and made with the consent of such individual
- Made with the consent of the individual to whom the information, document, or biospecimen pertains
- Made for the purposes of other scientific research that is in compliance with applicable Federal regulations governing the protection of human subjects in research




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**NIH Update: Certificates of Confidentiality**

Issue	Previous Authority	Current Authority
How to get one	Issued upon approval of application	<ul style="list-style-type: none"> <li>NIH-funded – <b>automatic</b></li> <li>Non-NIH funded – upon application</li> </ul>
Disclosure	PI/ Institution could voluntarily disclose	Disclosure is prohibited unless specifically allowed by statute or with consent
Admissibility as evidence	Information protected by a CoC could be used in a legal proceeding if disclosed	Protected information cannot be used in a legal proceeding even if it is disclosed elsewhere
Copies of information	Unclear; typically advised to amend or extend	All information, including copies, is protected




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**Confidentiality in AHRQ-Supported Research (NOT-HS-18-012) – 07/26/2018**  
<https://grants.nih.gov/grants/guide/notice-files/NOT-HS-18-012.html>

**Per 42 U.S.C. § 299c-3(c)**

AHRQ recipients don't need Certificates of Confidentiality for research projects

- Identifiable information collected with AHRQ support may be used only for purposes of research and statistical aggregation
- Absent consent, no exceptions to the statute exist for other governmental purposes such as law enforcement, claims adjudication, or civil investigations
- Information covered by the statute is subject to Exemption 3 under the Freedom of Information Act for records specifically exempted by statute




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**NSF Update**

- Fastlane / Research.gov
- Audits




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**NSF Update: Audits**

- Allocability
  - Supplies charged to one award when it had multiple uses
  - Equipment purchased at the end of award
  - International travel tangential to the award
- Indirect Costs
  - Using a rate different from (usually higher than) negotiated rate
  - Charging indirect costs to a direct cost (e.g., participant support) on which indirect costs are not allowable
- Subrecipient monitoring
  - Completing subrecipient risk assessments




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**Office of Management and Budget**

- Government reform
  - Improve the efficiency and effectiveness
- Management agenda
  - Modernize information technology
    - Functionality
    - Security
  - Data, accountability, and transparency




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**Council on Governmental Relations**  
<https://www.cogr.edu/>

F&A

**NIH Direct and F&A Awarded (Dollars and Percent)**

Fiscal Year	Direct Awarded (000s)	F&A Awarded (000s)	Total Awarded (000s)	Direct as a Percent of Total	F&A as a Percent of Total
FY2002	12,822,068	4,835,456	17,657,524	72.6	27.4
FY2007	15,387,745	5,876,060	21,263,805	72.4	27.6
FY2012	15,978,032	6,182,900	22,160,932	72.1	27.9
FY2016	16,899,936	6,407,203	23,307,139	72.5	27.5

Source: Congressional Justification of the NIH FY2017 budget request; Overview of 2017 President's Budget




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**Federal Demonstration Partnership**  
<http://thefdp.org/>

Expanded Clearinghouse

- Central repository of institutional information
  - <https://fdpclearinghouse.org/organizations>
- Simplified Intent documents (project specific)
  - [https://www.unmc.edu/spa/unmc\\_intent\\_out\\_fdp.docx](https://www.unmc.edu/spa/unmc_intent_out_fdp.docx)
  - [https://www.unmc.edu/spa/unmc\\_intent\\_in\\_fdp.docx](https://www.unmc.edu/spa/unmc_intent_in_fdp.docx)
- “Push back” language




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**Federal Demonstration Partnership**  
<http://thefdp.org/>

**Repository**

- **General Information:** Legal entity name, EIN, DUNS, links to F&A and fringe rate agreements
- **Contacts:** Names, titles, and email addresses for primary signing official, as well as SAM, audit, F&A, FFATA, and general financial contacts
- **Registration & IDs:** Links to IRS tax determination letters, W-9s, DoD and DOE registration numbers
- **Certifications:** Debarment & suspension status, certifications for FCOI, affirmative action, lobbying, and FFATA
- **Audits:** Summarized results of the most recent single audit, with a link to the document. DoD, ONR, and DCAA review approval dates
- **Assurances:** Human and animal subject registration numbers, approval and expiration dates




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Subrecipient:	Board of Regents of the University of Nebraska/DCA, University of Nebraska Medical Center	Pass-Through Entity:	
Subrecipient DUNS:	16855177	Pass-Through Entity DUNS:	
Principal Investigator:		Principal Investigator:	
Internal Project Identifier (optional):	IF ID#	Internal Project Identifier (optional):	
Institutional Administrator Name/Title:		Institutional Administrator Name/Title:	
Phone:	402-559-7656	Phone:	
Email:	spadmin@unmc.edu	Email:	
Project Title:		Project Period:	
Awarding Agency:		Cost Sharing Amount:	
Total Proposed Amount:		(If applicable)	
Human Subjects Y/N:		Vertebrate Animals Y/N:	

This proposal has been reviewed and approved by the appropriate official of [Subrecipient], and certified to its accuracy and completeness. The appropriate programmatic and administrative personnel of each institution involved in this grant application are aware of the awarding agency's policies, agree to accept the obligation to comply with award terms, conditions and certifications, and are prepared to establish the necessary inter-institutional agreement consistent with that policy.

The following documents are attached to this Statement of Intent:

Statement of Work  
 Detailed Budget  
 Budget Justification  
 Other: \_\_\_\_\_

Signature of Subrecipient's Authorized Official \_\_\_\_\_ Date \_\_\_\_\_

Deborah G. Vetter, M.S., Director, Sponsored Programs Administration  
 Name and Title of Authorized Official




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Subrecipient:	Pass-Through-Entity:	Board of Regents of the University of Nebraska-OMC, University of Nebraska Medical Center
Subrecipient DUNS:	Pass-Through-Entity DUNS:	146559477
Principal Investigator:	Principal Investigator:	
Internal Project Identifier (optional):	Internal Project Identifier (optional):	or ID #
<b>Institutional Administrator</b>	<b>Institutional Administrator</b>	
Name/Title:	Name/Title:	
Phone:	Phone:	402-735-7466
Email:	Email:	spadm@unmc.edu
Project Title:		
Awarding Agency:	Project Period:	
Total Proposed Amount:	Cost Sharing Amount (if applicable):	
Human Subjects Y/N:	Vertebrate Animals Y/N:	

The proposal has been reviewed and approved by the appropriate official of [Subrecipient], and certified to its accuracy and completeness. The appropriate programmatic and administrative personnel of each institution involved in this grant application are aware of the awarding agency's policies, agree to accept the obligation to comply with award terms, conditions and certifications, and are prepared to establish the necessary inter-institutional agreement consistent with that policy.

The following documents are attached to this Statement of Intent:

<input type="checkbox"/> Statement of Work	
<input type="checkbox"/> Detailed Budget	
<input type="checkbox"/> Budget Justification	
<input type="checkbox"/> Other:	

Signature of Subrecipient's Authorized Official \_\_\_\_\_ Date \_\_\_\_\_

Name and Title of Authorized Official \_\_\_\_\_




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**Federal Demonstration Partnership**  
<http://thefdp.org/>

**"Push back" language**

*I am writing to let you know that [YOUR INSTITUTION] is a Participating Organization in the FDP Expanded Clearinghouse. It is my understanding that your organization is also a Participating Organization. As a part of the FDP Expanded Clearinghouse Initiative, both of our organizations have agreed that we will not send or require completion of subrecipient commitment forms, but will instead use our profile that is posted on-line at the FDP Expanded Clearinghouse site. Please use my profile to obtain the information you need. If you have transaction-specific requirements, I will be happy to provide those, but I will need you to tell me precisely what you need via an email or a transaction-specific request form (one that does not also include data elements found in our profile).*




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- Other Universities**
- Internal deadlines
  - Research Misconduct
    - UNMC Policy 8003
    - [https://wiki.unmc.edu/index.php/Research\\_Integrity](https://wiki.unmc.edu/index.php/Research_Integrity)
  - International visitors
    - UNMC Policy 6083
    - [https://wiki.unmc.edu/index.php/International\\_Visitors](https://wiki.unmc.edu/index.php/International_Visitors)
  - Is it a grant, gift, or business agreement?
  - Non-financial agreements
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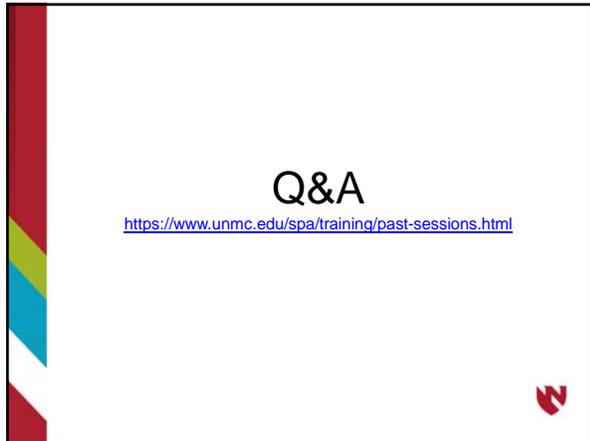
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Q&A

<https://www.unmc.edu/spa/training/past-sessions.html>



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