Sponsored Programs Administration
Buzz Session
May 27, 2015

Agenda

• NIH updates
• Uniform Guidance implementation
• UNMC updates
• Q&A

Notice of Potential Delays to NIH Issuing Awards in May 2015
(NOT-OD-15-088) – 04/09/2015

NIH software upgrades from May 19 to June 3
• No new or revised NOAs
  • Pre-award spending allowable for most awards
• No processing of NCEs
  • UNMC can submit NCE notifications/requests
• No processing of Fellowship Activations
  • UNMC can submit xTrain forms
• Funds can be drawn down in PMS
• Applications can be submitted through Grants.gov

Examples of applications that might be withdrawn
• Containing biosketches that do not conform to current format
• Circumventing page limits by including inappropriate materials in other parts of the application
• Submitted as new but containing elements of a resubmission
• Submitted after 5 PM local time

ASSIST Now an Option for R01 and Individual Career Development Award Applications (NOT-OD-15-098) – 04/30/2015

Which system does UNMC use to submit grants through Grants.gov?
✓ Cayuse
☐ ASSIST

Reminder: NIH and AHRQ Biosketch Requirements for Due Dates On or After May 25, 2015 (NOT-OD-15-085) – 05/24/2015

<table>
<thead>
<tr>
<th>Old Format (four pages)</th>
<th>New Format (five pages)</th>
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<tbody>
<tr>
<td>A. Personal Statement</td>
<td>A. Personal Statement</td>
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<tr>
<td>• Can identify up to four publications</td>
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<td>B. Positions and Honors</td>
<td>B. Positions and Honors</td>
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<td>C. Publications (~16)</td>
<td>C. Contributions to Science (up to five)</td>
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<td>• Also provide a URL to a full list of published work as found in a “publicly available digital database”</td>
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<td>D. Research Support</td>
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Reminder: NIH and AHRQ Biosketch Requirements for Due Dates On or After May 25, 2015 (NOT-OD-15-085) – 05/24/2015

Resources

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<thead>
<tr>
<th>UNMC</th>
<th>NIH new biosketch format</th>
<th><a href="http://unmc.libguides.com/mybibliography">http://unmc.libguides.com/mybibliography</a></th>
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<tr>
<td>NIH Public Access policy</td>
<td>National Center for Biotechnology Information</td>
<td><a href="http://unmc.libguides.com/mybibliography">http://unmc.libguides.com/mybibliography</a></td>
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NIH Public Access policy reminder
Publications that are
- Supported with NIH funding
- Peer-reviewed
- Accepted for publication on or after April 7, 2008
- In Latin script

Must be deposited into PubMed Central upon acceptance for release to the public no later than 12 months after publication


Submission methods
A. Journal automatically posts NIH-supported paper directly to PMC
B. Author makes special arrangements for journal to post paper directly to PMC
C. Author or designee submits manuscript to NIHMS
D. Publisher submits manuscript to the NIHMS
Can we uncheck the box associating a non-compliant publication with the progress report?

- Information in the RPPR must be complete and accurate
- All publications arising from an award during the reporting period must be reported, regardless of the public access status of the publication
- RPPR can be submitted with non-compliant publications
- PI and SPAdmin will receive an email requiring verification that all publications are in compliance no later than two weeks prior to the start date of the next budget period
- Information can be submitted either via Commons PRAM or an email to the GMS

Can we create a publications list and upload it to B.2 Accomplishments?

- All publications must be entered into PI’s My NCBI account
  - The publications will then automatically appear in the RPPR
- Publications listed in other parts of the progress report are not captured electronically

Training and career development

(T15, T32, TL1, T34, TL4, T35, T90, R25, RL5, R90, RL9, K12, KM1, KL2, D43, D71, DP7, U2R, and U45)

- Include publications that resulted from work conducted while the individual was supported by the award
  - Receiving a stipend or salary
Clarifying Publication Reporting Instructions for Research Performance Progress Reports (RPPR) and Renewal Applications (NOT-OD-15-091) – 05/25/2015

Resource sharing and conferences (C06, UC6, S10, G20, G07, G08, G11, P40, U42, P41, U41, P51, R24, U24, P2C, R13, U13)
- Include publications if award directly supported personnel that contributed to the publication
  - Authorship, consulting with authors, preparing manuscripts, or running analyses reported in the publication
- PI discretion to include or not include publications supported by non-personnel resources
  - Materials, equipment, data

RPPR 6.4 Section D – Participants

“D.1 What individuals have worked on the project?”
- PI
- Each person who has worked at least one person month per year on the project during the reporting period, regardless of the source of compensation
  - A Commons ID is required for all individuals with a postdoctoral, graduate, or undergraduate role

How do we report a postdoc who worked on project for more than one person month but has since left UNMC and doesn’t have a Commons ID?
- Role = “Other”
- Comment = “Postdoc who left institution without Commons ID”

Reminder: NIH Grant Applications and the NIH Genomic Data Sharing Policy (NOT-OD-15-083) – 03/20/2015

- Projects that will generate large-scale human and/or non-human genomic data
  - State in Cover Letter
  - Include Genomic Sharing Plan
- Project that will use controlled-access human genomic data from NIH-designated data repositories
  - State in Research Plan
  - Plans for requesting access to the data
  - Intention to abide by the NIH Genomic Data User Code of Conduct
VCR Grant Resource Library in Blackboard

- Select the Organizations tab in the upper right
- Type “Grant Resource Library” in the search box
- Select the “grl” link
- Click “enroll”

Notice for Use of Cloud Computing Services for Storage and Analysis of Controlled-Access Data Subject to the NIH Genomic Data Sharing Policy (NOT-OD-15-086) – 03/27/2015

- NIH now allowing investigators to transfer controlled-access genomic data obtained from NIH repositories to public or private cloud systems for data storage and analysis
- Change being made due to the advances in security protocols for cloud computing and the volume and complexity of genomic data generated by the research community
- NIH expects cloud computing systems to meet
  - NIH Security Best Practices for Controlled-Access Data Subject to the NIH Genomic Data Sharing Policy
  - http://gds.nih.gov/
  - Institution’s own IT security requirements and policies

UNMC Research IT Office: Data Storage Options
http://www.unmc.edu/vcr/rito/

HIPAA-Compliant
- Mainly geared towards data that contain Protected Health Information
- Can also be used for other types of data
- 25 GB of free storage space that is replicated automatically to remote site
- Additional storage space can be purchased at $900 / TB / year

Non-HIPAA
- Housed at the Holland Computing Center
- Includes daily backups to Lincoln datacenter
- $250.00 / TB / year

Cloud
- UNMC has partnered with Box for cloud storage
- For those who do not require high throughput
- $35 / month for unlimited storage of files smaller than 5 GB each
Uniform Guidance: NIH NOAs

Research and Development (R&D)

All awards issued by the National Institutes of Health (NIH) meet the definition of Research and Development at 45 CFR Part 75.2. As such, auditees should identify NIH awards as part of the R&D cluster on the Schedule of Expenditures of Federal Awards (SEFA). The auditor should test NIH awards for compliance as instructed in Part V, Clusters of Programs.

NIH recognizes that some awards may have another classification for purposes of indirect costs. The auditor is not required to report the disconnect (i.e., the award is classified as R&D for Federal Audit Requirement purposes but non-research for indirect cost rate purposes), unless the auditee is charging indirect costs at a rate other than the rate(s) specified in the award document(s).

Uniform Guidance: Subaward agreement templates

• Required data elements
  • “Prime recipient” = “pass-through entity”
• Payment methods
  • Cost reimbursement
    • Typical for basic research where the outcome is not known or defined
  • Fixed price
    • Project scope is specific
      • Accountability is based on performance and results
  • Adequate cost, historical, or unit pricing data is available to establish award amount
    • Cost principles can be used as a guide

Questions